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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet

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of

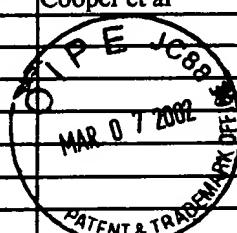
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**Complete if Known**

<b>Application Number</b>	09/990,507
<b>Filing Date</b>	November 21, 2001
<b>First Named Inventor</b>	DOCKUS, K.F.
<b>Group Art Unit</b>	1725 1725
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	60,680-559

U. S. PATENT DOCUMENTS

Initials* Examiner	No.* Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code(s) (if known)			
1/7	2,821,014			Miller, M.A.	01/28/58	
1/7	3,482,305			Dockus, K.F.	12/09/69	
1/7	4,028,200			Dockus, K. F.	06/07/77	
1/7	4,785,092			Nanba et al	11/15/88	
1/7	5,422,191			Childree, D.L.	06/06/95	
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1/7	5,894,054			Paruchuri et al	04/13/99	
1/7	6,129,262			Cooper et al	10/10/00	



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## FOREIGN PATENT DOCUMENTS

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MAP 13  
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Examiner Signature	John Zimmerman	Date Considered	8/1/03
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance. Considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number 2 See attached Kinds of U.S. Patent Documents 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Sheet**

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**Application Number** 09/990,507

**Filing Date** Nov 21, 2001

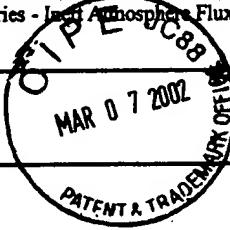
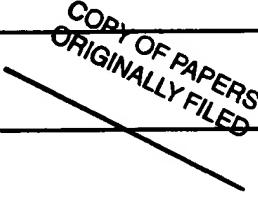
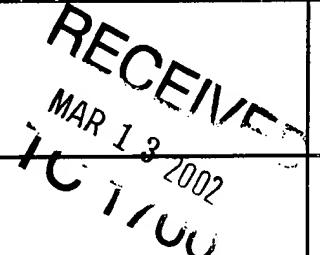
**First Named Inventor** **Dockus, K.F.**

Group Art Unit 1725 1725

**Examiner Name**

Attorney Docket Number 60-680-559

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENT		
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
97		Preparation of and Electropolating on Aluminum Alloys by the Zinicate Process - American National Standard Institute, Aug 19, 1974
93		B. E. Cheadle and K. F. Dockus, International Congress and Exposition, Detroit, Michigan, February 29-March 4, 1988 SAE Technical Paper Series - Low Atmosphere Fluxless Brazing of Aluminum Heat Exchangers
		
		
		

Examiner Signature	John Zimmerman	Date Considered	8/1/03
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Unique citation designation number 2 Applicant is to place a check mark here if English language Translation is attached.

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#46

LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary).		ATTY. DOCKET NO. <b>02057.0167.NPUS00</b>	APPLICATION NO. <b>09/990,507</b>
PTO FORM 1449		APPLICANT <b>DOCKUS et al.</b>	
		FILING DATE <b>November 21, 2001</b>	GROUP <b>4726 1775</b>

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TC 1700

JUN 02 2003  
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Crossed through references were cited on  
an earlier information disclosure statement.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<del>13</del>	1.	2002/0050511	5-2002	Wittebroot	228	247	
<del>13</del>	2.	2002/0088717	7-2002	Wittebroot	205	259	
<del>13</del>	3.	2002/0175205	11-2002	Wittebroot, J.	228	249	
<del>13</del>	4.	2003/0042146	3-2003	Wijnenberg	205	210	
<del>13</del>	5.	2003/0064242	4-2003	Wittebroot, J.	428	652	
<del>13</del>	6.	2003/0091856	5-2003	Wittebroot	428	654	
<del>13</del>	7.	3482305	12-1999	Dockus	29	487	
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							YES	NO
<del>13</del>	20.	EP0595601B2	7-2001	EP				
<del>13</del>	21.	EP0605323B1	5-2000	EP				
	22.	WO0071704 (EP1198625)	11-2000	PCT				

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JOHN J. ZIMMERMAN  
PRIMARY EXAMINER

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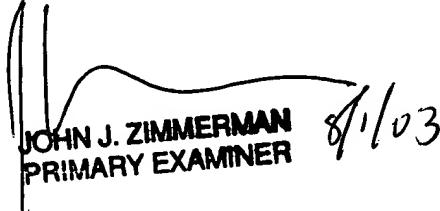
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. <b>02057.0167.NPUS00</b>	APPLICATION NO. <b>09/990,507</b>
<b>PTO FORM 1449</b>		APPLICANT <b>DOCKUS et al.</b>	
		FILING DATE <b>November 21, 2001</b>	GROUP <b>TC 177900</b>

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23.	WO0168312 (EP1265725)	9-2001	PCT	—
24.	WO0188226 (EP1290246)	11-2001	PCT	—
25.	WO0207928 (EP1307315)	1-2002	PCT	—
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27.	WO0238321	5-2002	PCT	—
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**OTHER REFERENCES**
*(Including Author, Title, Date, Pertinent Pages, Etc.)*

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